



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/587,147	07/24/2006	Ryosuke Nishida	2006_1143A	4981
513 7590 11/24/2009 WENDEROTH, LIND & PONACK, L.L.P. 1030 15th Street, N.W., Suite 400 East Washington, DC 20005-1503				
EXAMINER				
CORDRAY, DENNIS R				
ART UNIT		PAPER NUMBER		
1791				
MAIL DATE		DELIVERY MODE		
11/24/2009		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/587,147

**Applicant(s)**

NISHIDA ET AL.

**Examiner**

DENNIS CORDRAY

**Art Unit**

1791

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 30 June 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1 and 4-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 4-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/CDC)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Arguments***

Applicant's arguments filed 6/30/2009 have been fully considered but they are not persuasive.

Regarding the arguments against Belding et al in view of others, the reason or motivation to modify the reference may often suggest what the inventor has done, but for a different purpose or to solve a different problem. It is not necessary that the prior art suggest the combination to achieve the same advantage or result discovered by applicant. >See, e.g., In re Kahn, 441 F.3d 977, 987, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006) (motivation question arises in the context of the general problem confronting the inventor rather than the specific problem solved by the invention); Cross Med. Prods., Inc. v. Medtronic Sofamor Danek, Inc., 424 F.3d 1293, 1323, 76 USPQ2d 1662, 1685 (Fed. Cir. 2005) ("One of ordinary skill in the art need not see the identical problem addressed in a prior art reference to be motivated to apply its teachings.")

Regarding inorganic fibers, the reference states that inorganic fibers may be used in conjunction with the fibrillated fibers (e.g.-fibrillated acrylic fibers)(col 7, lines 29-36). Belding et al does not teach against using inorganic fibers, but teaches their use in conjunction with fibrillated fibers. Belding et al further teaches that the amount of fibrillated fibers and non-fibrillated inorganic and organic fibers can be adjusted to suit the particular need (col 7, lines 32-35).

The rejections are maintained but have been amended to address the current claims.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 11 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claim 11 as amended recites that paper is impregnated with aqueous liquid in which organic fine particles are dispersed or emulsified. Previously, the claim recited that aqueous liquid in which organic fine particles are dispersed or emulsified is impregnated with paper. The instant Specification fully supports the previous claim language (see paragraphs 10, 18, 27 and 58 and original Claims 3 and 11) but fails to support the current language. In examples, such as described in paragraph 86, paper is dipped into an emulsion of organic particles, but there is no disclosure of impregnation of the paper with the particles. While dipping can result in impregnation, it can also result in only coating a paper. The claim as amended thus presents new matter not found in the application as filed.

***Claim Rejections - 35 USC § 103***

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claims 1 and 4-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Belding et al (5791153) in view of Nishida (6429265) as evidenced by Lorah et al (US 2002/0055581).

Claims 1, 4-6, 8 and 9: Belding et al discloses heat energy and moisture exchange or adsorbent media used in an air-conditioning and ventilating system. The media comprises layers of absorbent paper having a desiccant incorporated within during fabrication of the paper (thus the paper is impregnated with the desiccant) and/or coated on the formed paper. The desiccant can be any material capable of adsorbing moisture from an air stream and desorbing the moisture in a counter flowing air stream (Abs; col 2, lines 47-58; col 4, lines 64-67; col 5, lines 26-35 and 61-67; col 6, lines 1-9). In some embodiments, the absorbent paper is formed by a standard papermaking process comprising wet-laying the desiccant, inorganic fibers and fibrillated organic fibers (fibrillated acrylic fibers are preferred organic fibers) (col 7, lines 23-31, 39-45 and 53-55; col 8, lines 6-9 and 52-55). Wood pulp is also disclosed as a fiber source (col 9, line 1). Preparation of an aqueous slurry of the desiccant, inorganic fibers and fibrillated organic fibers is an inherent part of the wet-laying process. Thermally adhesive fibers are not required.

Belding et al does not disclose the claimed organic particles.

Nishida '265 discloses particles of crosslinked acrylonitrile polymer capable of absorbing and releasing a high amount of moisture (removing moisture from air is discussed in the background section), the particles comprising potassium salt type carboxyl groups in an amount of 1.0-8.0 mmol/g. In some embodiments, the crosslinking of acrylonitrile groups is introduced by hydrazine and the acid salt groups are formed by hydrolysis of remaining nitrile groups by alkali metal salts. The metals used can include Li, Na, K, Mg and Ca, although K is essential and gives the best result when all carboxyl groups are changed to potassium type (Abs; col 1, lines 5-14; col 2, lines 15-45; col 3, lines 23-32 and 65-67; col 4, lines 1, 2 and 26-45; col 6, lines 14-18, 29-53 and 65-67; col 7, lines 1-3). In other embodiments, the polymer is copolymerized with a crosslinking monomer such as divinylbenzene that reacts with a carboxyl group (col 5, line 53 to col 6, line 7).

Nishida '265 discloses making a paper by adding the polymer particles to a dispersion of pulp and synthetic fiber and manufacturing paper using a conventional paper machine. Alternatively, a slurry of polymer particles are applied to a paper (col 8, lines 9-31).

The art of Belding et al, Nishida '265 and the instant invention is analogous as pertaining to moisture absorbing and desorbing compositions and paper comprising the compositions. It would have been obvious to one of ordinary skill in the art to use the claimed crosslinked acrylate particles as the desiccant in the adsorbent media of Belding et al in view of Nishida '265 as a functionally equivalent material having been disclosed for the purpose. It would also have been obvious to obtain the claimed

moisture absorbing rate, swelling rate, thermal shrinking rate in the paper as the structure of the paper so made is substantially the same as the claimed paper.

Claims 10 and 11: Nishida '265 discloses that potassium is the best performing of the carboxylic acid salts and the best result is obtained when all carboxyl groups are changed to potassium salts. Polymers containing acid groups are well known to exchange cations readily (see Lorah et al, p 8, right column, lines 3-6). It would have been obvious to one of ordinary skill in the art to use distilled water or deionized water having the claimed cation concentration other than potassium ions to prepare and disperse or emulsify the organic crosslinked particles in order to prevent exchange of non-potassium ions with the potassium ions of the carboxylic salts in the particles and lower the efficiency thereof for the intended absorption and desorption.

Claims 7 and 12: Belding et al discloses that the paper comprises an amount of desiccant from 5 to 85% by weight, the remainder comprising fibrous material (col 8, lines 64-67). Belding et al further discloses synthetic organic fibers can include polyethylene, polypropylene, polyester and polyamide fibers (col 7, lines 39-45), which are thermally adhesive fibers. Belding et al also discloses that the amount of fibrillated fibers and non-fibrillated inorganic and organic fibers can be adjusted to suit the particular need (col 7, lines 32-35). Thus, the fiber mix is a result effective variable and, absent a showing of unobvious results commensurate in scope with the claims, the claimed amounts of fibers would have been determined by one of ordinary skill in the art by routine experimentation.

Claims 1, 4, 6-9 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Belding et al (5791153) in view of Tanaka et al (5691421).

The disclosure of Belding et al is used as above. Belding et al does not disclose the claimed organic particles.

Tanaka et al discloses particles of crosslinked acrylonitrile polymer capable of absorbing and releasing a large amount of moisture (removing moisture from air is discussed in the background section), the particles comprising salt type carboxyl groups in an amount of 1 mmol/g (Abs; col 1, lines 1-15 and 41-60). In some embodiments, the crosslinking of acrylonitrile groups is introduced by hydrazine and the acid salt groups are formed by hydrolysis of remaining nitrile groups by alkali metal salts. The metals used include Li, Na, K, Mg and Ca (col 1, line 65 to col 2, line 65).

Tanaka et al discloses a moisture absorption of 17 to 48% at 20 °C/65% RH (col 4, lines 10-20, Example 1, Table 1; col 6, lines 44 and 45). The particles can be added to any material and are used in any field where moisture absorption and desorption are required (col 7, line 20 to col 8, line 4).

The art of Belding et al, Tanaka et al and the instant invention is analogous as pertaining to moisture absorbing and desorbing compositions and substrates comprising the compositions. It would have been obvious to one of ordinary skill in the art to use the claimed crosslinked acrylate particles as the desiccant in the adsorbent paper of Belding et al in view of Tanaka et al as a functionally equivalent material having been disclosed for the purpose. It would also have been obvious to obtain the claimed



absorbing rate, swelling rate and thermal shrinking rate in the paper as the structure of the paper so made is substantially the same as the claimed paper.

Claims 1, 4-9 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Belding et al (5791153) in view of Nishida (6080797 or 6387970).

The disclosure of Belding et al is used as above. Belding et al does not disclose the claimed organic particles.

Nishida '797 discloses particles of crosslinked acrylonitrile polymer capable of absorbing and releasing a large amount of moisture (removing moisture from air is discussed in the background section), the particles comprising salt type carboxyl groups in an amount of 2.0-12.0 mmol/g. In some embodiments, the crosslinking of acrylonitrile groups is introduced by hydrazine and the acid salt groups are formed by hydrolysis of remaining nitrile groups by alkali metal salts. The metals used include Li, Na, K, Mg and Ca (Abs; col 1, lines 1-14; col 2, lines 19-67; col 3, lines 1 and 31-36; col 3, line 64 to col 4, line 21). In other embodiments, the polymer is copolymerized with a crosslinking monomer such as divinylbenzene that reacts with a carboxyl group (col 5, lines 26-46).

Nishida discloses making a paper by adding the polymer particles to a dispersion of pulp and synthetic fiber and manufacturing paper using a conventional paper machine. Alternatively, a slurry of polymer particles are applied to a paper (col 10, lines 37-56).

Nishida '970 is a division of Nishida '797, has the same disclosure.

The art of Belding et al, Nishida ('797 or '970) and the instant invention is analogous as pertaining to moisture absorbing and desorbing compositions and paper comprising the compositions. It would have been obvious to one of ordinary skill in the art to use the claimed crosslinked acrylate particles as the desiccant in the adsorbent media of Belding et al in view of Nishida ('797 or '970) as a functionally equivalent material having been disclosed for the purpose. It would also have been obvious to obtain the claimed moisture absorbing rate, swelling rate, thermal shrinking rate in the paper as the structure of the paper so made is substantially the same as the claimed paper.

Claims 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Belding et al in view of Tanaka et al or Nishida ('797 or '970) and further in view of Nishida ('265) and as evidenced by Lorah et al.

The disclosures of over Belding et al, Tanaka et al and Nishida ('797 or '970) are used as above. Belding et al, Tanaka et al and Nishida ('797 or '970) do not disclose using water having the claimed cationic ion concentration.

Nishida '265 discloses that potassium is the best performing of the carboxylic acid salts and the best result is obtained when all carboxyl groups are changed to potassium salts.

Polymers containing acid groups are well known to exchange cations readily (see Lorah et al, p 8, right column, lines 3-6).

The art of Belding et al, Nishida ('797, '970 and '265) and the instant invention is analogous as pertaining to moisture absorbing and desorbing compositions and paper comprising the compositions. It would have been obvious to one of ordinary skill in the art to use distilled water or deionized water having the claimed cation concentration other than potassium ions to prepare and disperse or emulsify the organic crosslinked particles in the paper of Belding et al in view of Tanaka et al or Nishida ('797 or '970) and further in view of Nishida ('265) in order to prevent exchange of non-potassium ions with the potassium ions of the carboxylic salts in the particles and lower the efficiency thereof for the intended absorption and desorption.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DENNIS CORDRAY whose telephone number is (571)272-8244. The examiner can normally be reached on M - F, 7:30 -4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Steven Griffin can be reached on 571-272-1189. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Dennis Cordray/  
Examiner, Art Unit 1791

/Eric Hug/  
Primary Examiner, Art Unit 1791